



## **Mental Health Services Act FY10-11 Annual Update April 16, 2010**

In order to access additional Mental Health Services Act (MHSA) funds for FY10-11, the County must submit an **Annual Update** for each of its previously approved plans. The enclosed documents are the Annual Updates for the County's plans or projects under the Community Services and Supports (CSS), Prevention and Early Intervention (PEI), Workforce Education and Training (WET) and Capital Facilities & Technological Needs (CFTN) components.

The Mental Health Department (MHD) will post the Annual Update on the County's MHSA website ([www.sccgov.org/mhsa](http://www.sccgov.org/mhsa)) for 30 days (April 16 through May 16, 2010) for public review and comment. Please review the attached plan and submit comments to Ky Le, MHSA Project Manager, at 408-885-7543 or [ky.le@hhs.sccgov.org](mailto:ky.le@hhs.sccgov.org).

The Mental Health Board (MHB) will convene a public hearing regarding the Annual Update on May 18, 2010 from 6:00 PM to 7:00 PM at the VMC-Valley Specialty Center, Basement, Room #BQ160, 751 South Bascom Avenue, San Jose, 95128. All interested stakeholders are encouraged to attend the public hearing. Pending approval by the County Board of Supervisors, the MHD will submit the final version of the Annual Update to the California Department of Mental Health (DMH) and the Mental Health Services Oversight and Accountability Commission (OAC) by June 30, 2010.

Two separate but related actions will coincide with the Annual Update. First, the MHD has prepared, will post and submit the County's Initial Innovation (INN) Plan separately. Since all initial plans and annual updates require both a 30-day public review and a public hearing, the MHB will hear comments for both the Initial INN Plan and the Annual Update on May 18. To review the INN Plan, please go to [www.sccmhd.org/mhsa/inn](http://www.sccmhd.org/mhsa/inn).

Second, the MHD has prepared an Update to the County's PEI Plan. The PEI Plan Update requires a 30-day public review, but does not require a public hearing. The PEI Plan Update consists of: 1) transferring approximately \$4.7 million in unexpended PEI funds into the Local Prudent Reserve to prevent the funds from reverting to the State; and 2) assigning approximately \$7.7 million from DMH to the California Mental Health Services Authority to support the implementation of three statewide PEI projects. The PEI Plan Update will be posted for 30 days starting on April 16, and can be reviewed at [www.sccmhd.org/mhsa/pei](http://www.sccmhd.org/mhsa/pei).

## **Background**

On November 2, 2004, California voters passed Proposition 63, now referred to as the MHSA, establishing new tax revenues to expand and improve local public mental health systems. DMH divided implementation of new MHSA funds into five components: 1) CSS, which expands services to seriously mentally ill children, transition age youth, adults and seniors; 2) PEI services; 3) WET; 4) CFTN projects; and 5) INN programs that are intended to assist counties in finding solutions to seemingly intractable problems. DMH approved the County's three-year MHSA CSS Program and Expenditure Plan on June 30, 2006. Since initial approval, the County has submitted annual and mid-year updates to expand CSS programs based on funding increases or availability. In the late summer and fall of 2009, DMH/OAC approved the County's initial PEI plan, initial WET plan and five Technological Needs projects.

## **Community Services & Supports**

The Annual Update requests additional funds to support nine previously approved programs (previously called work plans). From all funding sources, the County's FY10-11 CSS Plan consists of over \$52 million in expenditures, of which approximately \$4.6 million are non-recurring costs. CSS MHSA revenues will fund approximately \$41.1 million of total expenditures. The Annual Update does not request approval for any new programs or an operating reserve. Services under this component will be funded through a combination of unapproved funds (i.e. planning estimates), unexpended CSS funds and the Local Prudent Reserve. While available funds can support CSS programs in FY10-11, DMH projects a significant decline of MHSA funds over the next several fiscal years. It may be necessary for the MHD to propose mid-year changes to the CSS plan in order to manage projected shortfalls and minimize the impact on services to clients.

For a more thorough description of each CSS program, please visit [www.sccmhd.org/mhsa/css](http://www.sccmhd.org/mhsa/css), or review the enclosed CSS program summaries (Exhibit Ds). The table below summarizes the County's funding request for CSS programs in FY10-11.

<b>CSS Programs</b>	<b>Total CSS Funding Required</b>
C-01 Child & Family System Improvement	\$4,736,066
C-02 Young Child System of Care Development	\$340,657
T-01 TAY System of Care Development	\$2,777,861
A-01 Adult System Development	\$17,766,169
A-03 Criminal Justice System Development	\$6,410,671
OA-01 Older Adult System Development	\$1,397,546
HO-01 Housing Options Initiative	\$3,171,399
HC-01 Behavioral & Primary Health Care Partnership	\$1,365,385
LP-01 Behavioral Health Learning Partnership	\$2,027,869
Administration	\$1,082,249
<b>Total</b>	<b>\$41,075,871</b>

**Prevention and Early Intervention**

The Annual Update does not request approval for any new PEI programs (previously called projects). In addition, to a \$1 million operating reserve for program start-up and training expenses, the Annual Update requests funds to support four previously approved programs. Altogether, the County anticipates expending \$14,420,000 for PEI services and administration in FY10-11. The MHD will not request additional funds for its PEI Training, Technical Assistance and Capacity Building program (a locally administered statewide PEI program) because the County received \$289,900 in FY09-10, but, to date, no funds have been expended. The County’s full PEI plan can be reviewed by going to [www.sccmhd.org/mhsa/pei](http://www.sccmhd.org/mhsa/pei).

<b>PEI Programs</b>	<b>Total Program Expenditures &amp; PEI Funding</b>
P1 Community Engagement & Capacity Building for Reducing Stigma and Discrimination	\$800,000
P2 Strengthening Families & Children	\$6,900,000
P3 Prevention & Early Intervention for Individuals Experiencing the Onset of Serious of Psychiatric Illness with Psychotic Features	\$1,350,000
P4 Primary Care / Behavioral Health Integration for Adults and Older Adults	\$3,150,000
Administration	\$1,220,000
Operating Reserve	\$1,000,000
<b>Total</b>	<b>\$14,420,000</b>

**Workforce Education & Training**

Annually, the County’s WET Plan consists of approximately \$4 million in initiatives to improve the public mental health workforce and increase the number of consumers, family members and ethnic/cultural minorities in direct service roles. As part of the approved Initial WET Plan, DMH disbursed all previously unapproved WET funds to the County. As a result, the County has received a total \$9,970,700 in WET funds in to support the planning and implementation of seven WET programs (previously called actions). In addition, as part of the *FY09-10* Annual Update, the County transferred \$2 million from CSS to WET; however, those funds were not distributed to the County. As part of *this year’s* Annual Update, the MHD is requesting that DMH disburse the \$2 million in support of previously approved WET programs. The County’s entire can be reviewed by visiting [www.sccmhd.org/mhsa/wet](http://www.sccmhd.org/mhsa/wet). The seven WET programs and their estimated annual funding allocations are listed below.

<b>WET Programs</b>	<b>Total Program Expenditures &amp; WET Funding</b>
Workforce Education and Training Coordination	\$253,414
Promising Practice-Based Training in Adult Recovery Principles & Child, Adolescent & Family Service Models	\$1,075,577
Improved Services & Outreach to Unserved and Underserved Populations	\$605,577
Welcoming Consumers and Family Members	\$536,153
WET Collaboration with Key System Partners	\$100,000
A Comprehensive Mental Health Career Pathway Model	\$181,153
Stipends and Incentives to Support Mental Health Career Pathway	\$954,000
Administration	\$411,858
<b>Total</b>	<b>\$4,117,732</b>

### **Capital Facilities & Technological Needs**

Projects approved under the CFTN component are considered single, time-limited projects. Currently, the County has six approved Technological Needs (TN) projects, and has received \$11,838,800 to implement the five of the six projects. Since implementation of the five projects did not begin until November 2009, the County has sufficient funds for anticipated expenditures in FY10-11. Therefore, the Annual Update does not request any additional CFTN funds. The five approved TN projects and their estimated total project costs are shown below. The sixth project, the County Health Record Integration Initiative (CHR) is projected to cost \$1.1 million; however, since CHR will not be implemented until January 2013, the County will not submit the project to DMH for funding until FY11-12. The County has not yet developed and submitted any Capital Facilities (CF) projects. The County's TN projects can be reviewed in detail by visiting [www.sccmhd.org/mhsa/cftn](http://www.sccmhd.org/mhsa/cftn).

<b>TN Projects</b>	<b>Estimated Total Project Cost</b>
Full Electronic Health Record (EHR)	\$13,601,000
Enterprise Data Warehouse (EDW)	\$2,645,000
Consumer Portal and Web-Site Redesign (WEB)	\$319,000
Consumer Learning Centers (CLC)	\$572,000
Bed and Housing Information Exchange (BHX)	\$200,000
<b>Total</b>	<b>\$17,337,000</b>

### **Enclosures**

#### **Exhibits**

#### **Title**

A	County Summary Sheet
B	County Certification
C	Community Program Planning and Local Review Process
C1	Implementation Progress Report on FY 08/09 Activities
D (x18)	Previously Approved Programs (CSS, PEI & WET)
E	MHSA Summary Funding Request
E1	CSS Budget Summary
E2	WET Budget Summary
E4	PEI Budget Summary